

Oxler Private Wealth LLC  
March 13, 2024

FORM CRS

Oxler Private Wealth LLC is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

**What investment services and advice can you provide me?**

We offer the following investment advisory services to retail investors: **Financial Planning Services; Portfolio Management Services; Pension Consulting Services; and Selection of Other Advisers.**

**Account Monitoring:** If you open an investment account with our firm, as part of our standard service we monitor client portfolios on an ongoing basis while regular account reviews are conducted on at least a quarterly basis.

**Investment Authority:** We manage investment accounts on a **discretionary** basis whereby **we will decide** which investments to buy or sell for your account. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We also offer **non-discretionary** investment management services whereby we will provide advice, but **you will ultimately decide** which investments to buy and sell for your account. You have an unrestricted right to decline to implement any advice provided by our firm on a non-discretionary basis.

**Investment Offerings:**

We offer advice on various types of investments or products, including but not limited to equity securities, corporate debt securities (other than commercial paper), municipal securities, mutual fund shares, ETFs, United States government securities, and money market funds.

**Account Minimums and Requirements:** In general, we do not require a minimum dollar amount to open and maintain an advisory account; however, we have the right to terminate your account if it falls below a minimum size which, in our sole opinion, is too small to manage effectively.

Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Brochure Items 4, 7, and 8 by following this link <https://adviserinfo.sec.gov/firm/brochure/309684>.

***Key Questions to Ask Your Financial Professional***

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

**What fees will I pay?**

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services.

- **Asset Based Fees** - Payable monthly in advance. Since the fees we receive are asset-based (i.e. based on the value of your account), we have an incentive to increase your account value which creates a conflict; and
- **Fixed Fees** - Retainer payable at inception of engagement, and balance is paid upon delivery of service, and subsequent receipt of invoice. We do not require the prepayment of more than \$1,200 in fees six or more months in advance.

Examples of the most common fees and costs that may be applicable to our clients include:

- Custodian fees, account maintenance fees;
- Transaction charges when purchasing or selling certain securities; and

- Other product-level fees associated with your investments.

We have negotiated an arrangement with Pershing, our preferred custodian for our clients, whereby advisory clients pay an asset-based fee to Pershing for brokerage and custody services. This asset-based fee is billed directly from and paid directly to Pershing as Custodian, and not through Oxler Private Wealth.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

For detailed information, refer to our Form ADV Part 2A Brochure, by following this link <https://adviserinfo.sec.gov/firm/brochure/309684>.

**Key Questions to Ask Your Financial Professional**

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- Because our revenue is derived from asset-based fees, we have an incentive to grow your account as much as possible.

**Key Questions to Ask Your Financial Professional**

- **How might your conflicts of interest affect me, and how will you address them?**

Refer to our Form ADV Part 2A Brochure by following this link <https://adviserinfo.sec.gov/firm/brochure/309684> to help you understand what conflicts exist.

**How do your financial professionals make money?**

Our financial professionals servicing your account(s) are compensated with a salary and bonus, and principals may also receive distributions on equity. Compensation is based on a combination of factors including amount of client assets under management, individual performance and experience, and overall contributions to our firm.

**Do you or your financial professionals have legal or disciplinary history?**

Yes, our firm or our financial professionals currently have legal or disciplinary history to disclose. These events are disclosed in either our Form ADV or the specific individual's Form U4. These documents can be found by going to [Investor.gov/CRS](http://Investor.gov/CRS) to learn more.

**Key Questions to Ask Your Financial Professional**

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about our investment advisory services and request a copy of the relationship summary at 212-785-2860 or follow the link provided <https://adviserinfo.sec.gov/firm/brochure/309684>.

**Key Questions to Ask Your Financial Professional**

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**